

- **Motivation:** **Krylov complexity** is a measure of **operator growth** which has been shown to capture signatures of **chaos** in quantum many-body systems [Parker, et al. (2018)].
- **Abstract:** In [arXiv:2212.14702](https://arxiv.org/abs/2212.14702) (with Viktor Jahnke, Keun-Young Kim and Mitsuhiro Nishida), we study Krylov complexity in free and interacting massive scalar QFTs in $\mathbb{R}^{1,d-1}$ at finite temperature.

(1) Lanczos Coefficients: a_n, b_n

We are interested in studying the time evolution of Heisenberg operators $\hat{\mathcal{O}}(t)$ in a quantum system with Hamiltonian \hat{H} :

$$\hat{\mathcal{O}}(t) = e^{i\hat{H}t}\hat{\mathcal{O}}e^{-i\hat{H}t} = \hat{\mathcal{O}} + it[\hat{H}, \hat{\mathcal{O}}] + \frac{(it)^2}{2!}[\hat{H}, [\hat{H}, \hat{\mathcal{O}}]] + \dots$$

$$= \sum_{n=0}^{\infty} \frac{(it)^n}{n!} \mathcal{L}^n \hat{\mathcal{O}}, \quad \text{where } \mathcal{L} := [\hat{H}, \cdot] \text{ is called the}$$

Liouvillian.

With \mathcal{L} , we can define a Gelfand-Naimark-Segal (GNS) Hilbert space after choosing an inner product $(\mathcal{L}^m \hat{\mathcal{O}} | \mathcal{L}^n \hat{\mathcal{O}})$.

In general, the basis $|\mathcal{L}^n \hat{\mathcal{O}}\rangle$ is not orthonormal. However, we can find an orthonormal basis, known as the **Krylov basis** $(\hat{\mathcal{O}}_m | \hat{\mathcal{O}}_n) = \delta_{mn}$ using the Gram-Schmidt procedure:

$$|\hat{\mathcal{O}}_{-1}\rangle := |0\rangle, \quad |\hat{\mathcal{O}}_0\rangle := |\hat{\mathcal{O}}\rangle$$

$$|\hat{\mathcal{O}}_n\rangle = \frac{1}{b_n} \left((\mathcal{L} - a_{n-1}) |\hat{\mathcal{O}}_{n-1}\rangle - b_{n-1} |\hat{\mathcal{O}}_{n-2}\rangle \right) \quad (n \geq 1)$$

In the Krylov basis, the matrix elements of the Liouvillian take the form

$$(\hat{\mathcal{O}}_m | \mathcal{L} | \hat{\mathcal{O}}_n) = \begin{pmatrix} a_0 & b_1 & 0 & 0 & \dots \\ b_1 & a_1 & b_2 & 0 & \dots \\ 0 & b_2 & a_2 & b_3 & \dots \\ 0 & 0 & b_3 & a_3 & \ddots \\ \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix}$$

The coefficients $a_n = (\hat{\mathcal{O}}_n | \mathcal{L} | \hat{\mathcal{O}}_n)$ and $b_n = (\hat{\mathcal{O}}_{n-1} | \mathcal{L} | \hat{\mathcal{O}}_n) = (\hat{\mathcal{O}}_n | \mathcal{L} | \hat{\mathcal{O}}_{n-1})$ are called **Lanczos coefficients**.

If $\hat{\mathcal{O}}$ is Hermitian, then $a_n = 0$.

(2) Krylov complexity $K_{\hat{\mathcal{O}}}(t)$ and quantum chaos

In the Krylov basis, the time-evolved operator can be written as

$$|\hat{\mathcal{O}}(t)\rangle = \sum_{n=0}^{\infty} i^n \varphi_n(t) |\hat{\mathcal{O}}_n\rangle, \quad \text{where:}$$

$$\varphi_n(t) = \frac{1}{b_n} (i a_{n-1} \varphi_{n-1}(t) - \partial_t \varphi_{n-1}(t) + b_{n-1} \varphi_{n-2}(t)) \quad (n \geq 1)$$

The **Krylov complexity** of the operator $\hat{\mathcal{O}}$ is defined as

$$K_{\hat{\mathcal{O}}}(t) := \sum_{n=0}^{\infty} n |\varphi_n(t)|^2$$

Krylov complexity measures the growth or “spread” of the operator $\hat{\mathcal{O}}$ in **Krylov (sub-)space** $\mathcal{H}_{\hat{\mathcal{O}}}$, the space spanned by the Krylov basis $\{|\hat{\mathcal{O}}_n\rangle\}$.

Chaos in Quantum Many-Body Systems

In [Parker, et al. (2018)] it was conjectured that in the thermodynamic limit, the b_n of generic non-integrable quantum many-body systems with local interactions should grow as fast as possible,

$$b_n \sim \alpha n + \gamma \quad (n \rightarrow \infty)$$

In this case, the Krylov complexity should have an exponential growth $K_{\hat{\mathcal{O}}}(t) \sim e^{\lambda_K t}$, with growth-rate λ_K providing a tighter bound than the Maldacena-Shenker-Stanford (MSS) bound on Lyapunov exponents λ_L of OTOCs

$$\lambda_L \leq \lambda_K \leq 2\pi T$$

(3) Krylov complexity $K_{\hat{\mathcal{O}}}(t)$ in QFTs

Q: What is the behavior of b_n and Krylov complexity in QFTs?

To answer this, in [arXiv:2212.14702](https://arxiv.org/abs/2212.14702) we focus on the **Wightman-ordered two-point function** for a scalar field:

$$\Pi^W(t, \mathbf{x}) := \langle \phi(t - i\beta/2, \mathbf{x}) \phi(0, \mathbf{0}) \rangle_{\beta}$$

where $\langle \cdot \rangle_{\beta}$ is the thermal expectation value. Its Fourier transform is the **Wightman power spectrum**

$$f^W(\omega) := \int dt \Pi^W(t, \mathbf{0}) e^{i\omega t} = \frac{1}{\sinh(\beta\omega/2)} \int \frac{d^{d-1}\mathbf{k}}{(2\pi)^{d-1}} \rho(\omega, \mathbf{k})$$

where $\rho(\omega, \mathbf{k})$ is the spectral density. From the power spectrum, it is possible to compute the **moments**

$$\mu_{2n} = \frac{1}{2\pi} \int d\omega \omega^{2n} f^W(\omega)$$

and from them, the Lanczos coefficients b_n , according to

$$b_1^{2n} \dots b_n^2 = \det(\mu_{i+j})_{0 \leq i, j \leq n}$$

where (μ_{i+j}) is a Hankel matrix of moments.

The **autocorrelation function** $C(t) := \varphi_0(t) \equiv \Pi^W(t, \mathbf{0})$ is the starting point for finding $\varphi_n(t)$, from which it is possible to compute the Krylov complexity $K_{\hat{\mathcal{O}}}(t)$.

The spectral density $\rho(\omega, \mathbf{k})$ contains the physical information about the theory. For example, for a free massive scalar

$$\rho(\omega, \mathbf{k}) = \frac{\mathcal{N}}{\epsilon_{\mathbf{k}}} (\delta(\omega - \epsilon_{\mathbf{k}}) - \delta(\omega + \epsilon_{\mathbf{k}}))$$

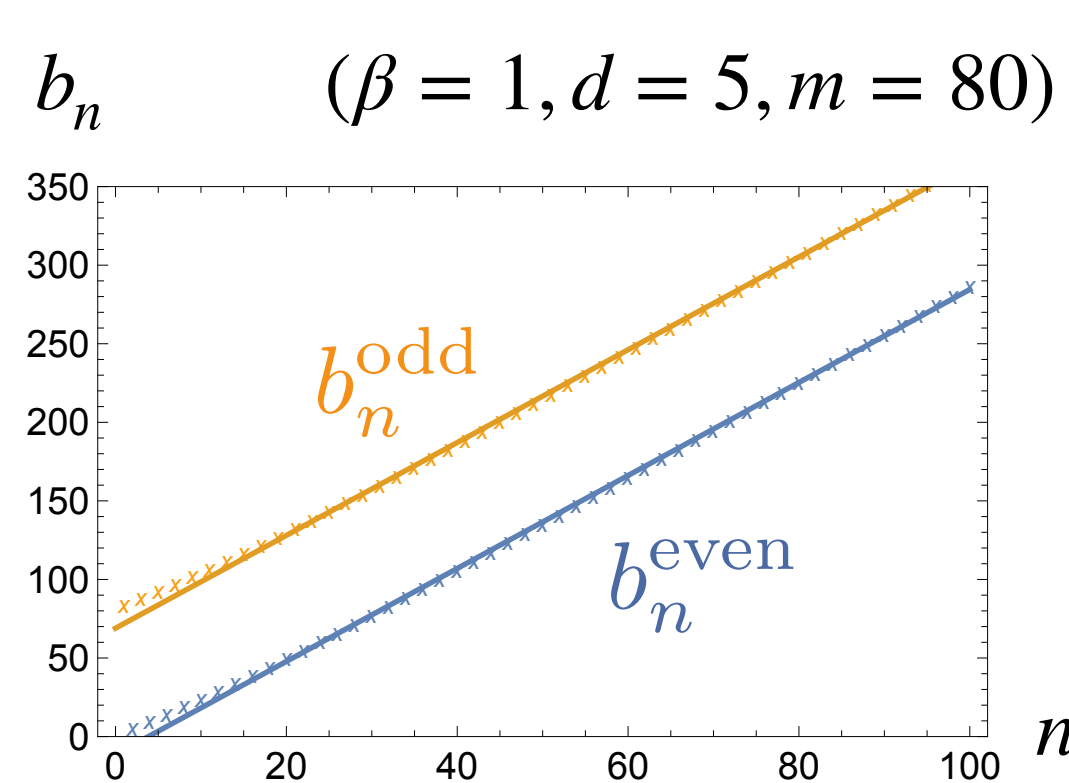
with $\epsilon_{\mathbf{k}} := \sqrt{|\mathbf{k}|^2 + m^2}$.

(4) Free massive scalar QFTs with unbounded spectrum

The power spectrum for a massive scalar field is given by

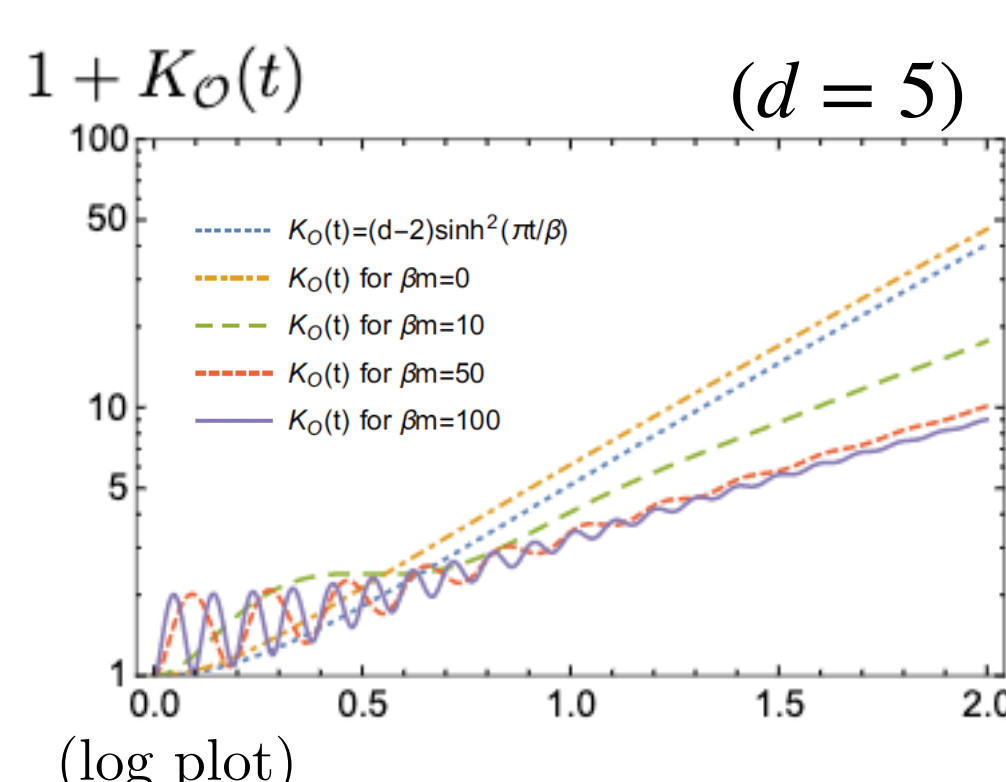
$$f^W(\omega) \sim \Theta(|\omega| - m) (\omega^2 - m^2)^{(d-3)/2} / |\sinh(\beta\omega/2)|$$

The **mass** induces a dimerization, or “**staggering**”, of the Lanczos coefficients b_n



$$b_n \sim \begin{cases} \frac{\pi}{\beta} n + \gamma_{\text{odd}} & (n \text{ odd}) \\ \frac{\pi}{\beta} n + \gamma_{\text{even}} & (n \text{ even}) \end{cases} \quad \text{where: } |\gamma_{\text{odd}} - \gamma_{\text{even}}| \sim m$$

The presence of the mass **breaks the smoothness** of the Lanczos coefficients, and **decreases the growth rate** of the Krylov complexity:



$$K_{\phi}(t) \sim e^{\tilde{\lambda}_K t}$$

$$(1.5 \leq \frac{\pi t}{\beta} \leq 2)$$

$$\tilde{\lambda}_K \begin{cases} \leq 2\pi/\beta & (m > 0) \\ = 2\pi/\beta & (m = 0) \end{cases}$$

[Dymarsky & Smolkin (2021)]

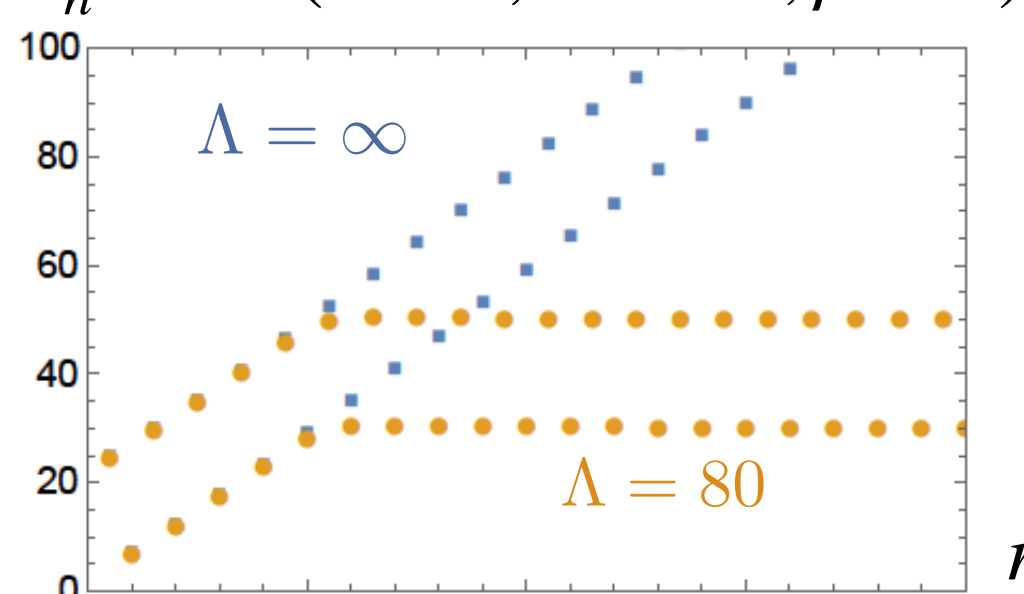
(5) Free massive scalar QFTs with bounded spectrum

We can introduce a **UV cutoff** in the power spectrum, such that

$$f^W(\omega) = 0 \quad (|\omega| > \Lambda)$$

This causes a **saturation** of the Lanczos coefficients

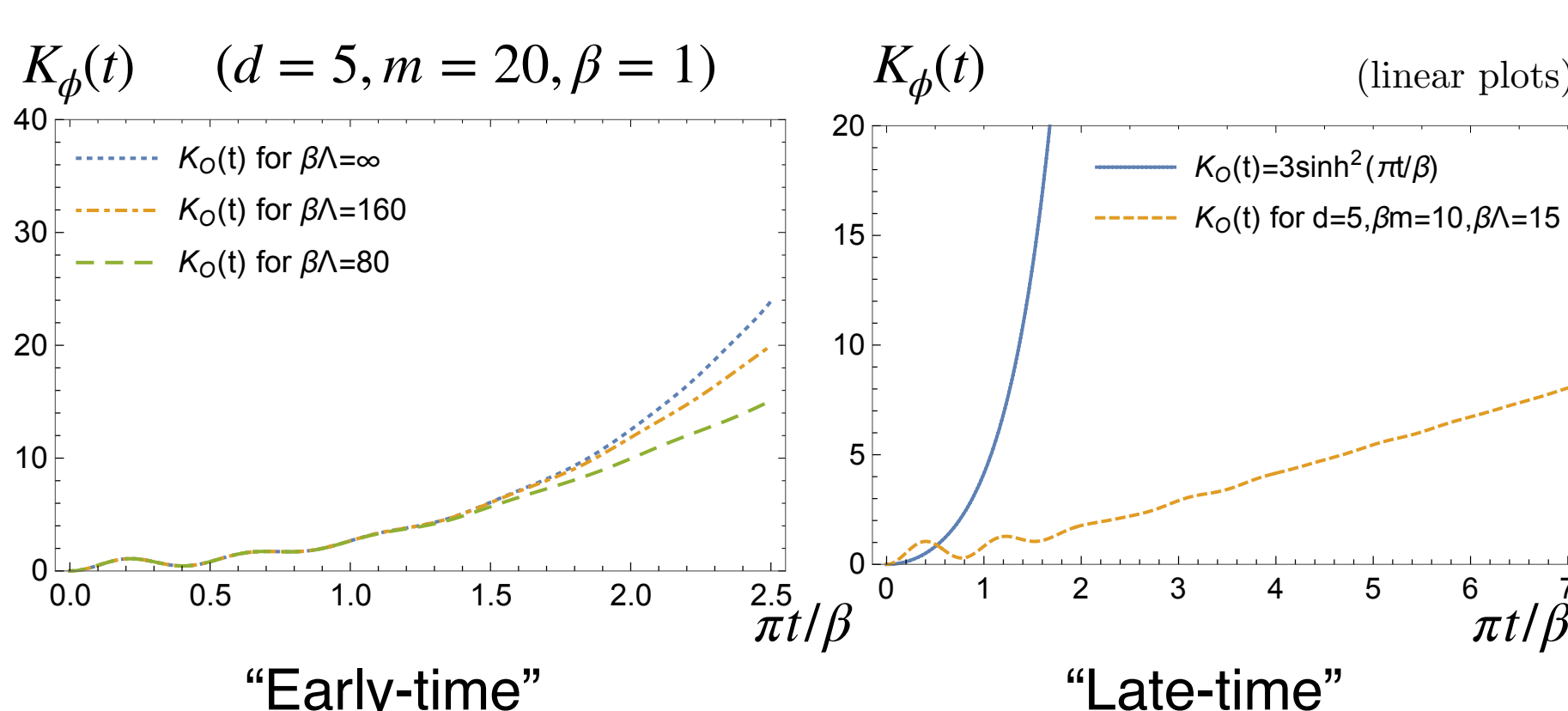
$$b_n \quad (d=5, m=20, \beta=1)$$



$$b_{\pm}^{\text{sat}} = \frac{(\Lambda \pm m)}{2}$$

$$(n_{\text{sat}} \sim O(\Lambda))$$

consistent with lattice computations ([Avdoshkin, Dymarsky & Smolkin (2022)]) and a **transition** in the Krylov complexity from an exponential to a **linear growth**:



The UV cutoff affects primarily the large- n behavior of the Lanczos coefficients b_n and the late-time behavior of Krylov complexity $K_{\phi}(t)$.

(6) Interacting scalar in 4d

We consider two types of perturbations in $d=4$:

$$L_{\text{int}} = \frac{g_{\ell} \phi^{\ell}}{\ell!}$$

a) **Marginally irrelevant deformation** ($\ell=4$)

In this case, the one-loop self-energy is $\Pi_E = \text{---} \bigcirc \text{---}$

This amounts to a change in the **effective mass** of the theory by a thermal mass contribution

$$m_{\text{eff}} = \sqrt{m^2 + m_{\text{therm}}^2} = \sqrt{m^2 + g_4/(24\beta^2)}$$

Thus, we obtain similar results to the free massive case (4).

b) **Relevant deformation** ($\ell=3$) (massless case)

In this case, the one-loop self energy is $\Pi_E = \text{---} \bigcirc \text{---}$

The Lanczos coefficients exhibit a **staggering** that decreases with n

